

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>( Not for submission under 37 CFR 1.99)</i>	Application Number		10589041
	Filing Date		2006-08-10
	First Named Inventor		Satwinder Singh Panesar et al.
	Art Unit		1761
	Examiner Name		Not yet assigned
	Attorney Docket Number		1410/67680

U.S.PATENTS						Remove
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS						Remove
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS							Remove	
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	0 057 671	EP	A2	1982-08-11	Plana		<input type="checkbox"/>
	2	0 151 252	EP	A1	1985-08-14	Masek		<input type="checkbox"/>
	3	0 272 922	EP	A2	1988-06-29	Bentley et al.		<input type="checkbox"/>

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>( Not for submission under 37 CFR 1.99)</b>			Application Number		10589041			
			Filing Date		2006-08-10			
			First Named Inventor		Satwinder Singh Panesar et al.			
			Art Unit			1761		
			Examiner Name			Not yet assigned		
			Attorney Docket Number			1410/67680		

	4	0 334 571	EP	A1	1989-09-27	Newman et al.		<input type="checkbox"/>
	5	0 334 572	EP	A1	1989-09-27	Newman et al.		<input type="checkbox"/>
	6	0 449 533	EP	A1	1991-10-02	Tansley et al.		<input type="checkbox"/>
	7	0 451 980	EP	A2	1991-10-16	Tansley et al.		<input type="checkbox"/>
	8	0 455 337	EP	A1	1991-11-06	Bentley et al.		<input type="checkbox"/>
	9	0 469 162	EP	A1	1992-02-05	Fond		<input type="checkbox"/>
	10	0 521 510	EP	A1	1993-01-07	Fond		<input type="checkbox"/>
	11	0 524 464	EP	A1	1993-01-27	Fond et al.		<input type="checkbox"/>
	12	0 638 486	EP	A1	1995-02-15	Gibbs et al.		<input type="checkbox"/>
	13	0 604 615	EP	B1	1998-09-23	Fond et al.		<input type="checkbox"/>
	14	0 862 882	EP	A1	1998-09-09	Nello		<input type="checkbox"/>

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>( Not for submission under 37 CFR 1.99)</b>			Application Number		10589041			
			Filing Date		2006-08-10			
			First Named Inventor		Satwinder Singh Panesar et al.			
			Art Unit			1761		
			Examiner Name			Not yet assigned		
			Attorney Docket Number			1410/67680		

	15	0 870 457	EP	A1	1998-10-14	Fond et al.		<input type="checkbox"/>
	16	0 904 718	EP	A1	1999-03-31	Kraan		<input type="checkbox"/>
	17	0 756 844	EP	B1	1999-05-06	Gotham et al.		<input type="checkbox"/>
	18	0 730 425	EP	B1	1999-05-19	Fischer		<input type="checkbox"/>
	19	1 042 978	EP	A1	2000-10-11	Cordone		<input type="checkbox"/>
	20	1 090 574	EP	A1	2001-04-11	Kollep et al.		<input type="checkbox"/>
	21	1 095 605	EP	A1	2001-05-02	Kollep et al.		<input type="checkbox"/>
	22	1 101 430	EP	A1	2001-05-23	Hale		<input type="checkbox"/>
	23	1 153 561	EP	A1	2001-11-14	Kollep et al.		<input type="checkbox"/>
	24	1 208 782	EP	A1	2002-05-29	Yoakim et al.		<input type="checkbox"/>
	25	0 862 882	EP	B1	2002-07-03	Nello		<input type="checkbox"/>

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>( Not for submission under 37 CFR 1.99)</b>			Application Number		10589041			
			Filing Date		2006-08-10			
			First Named Inventor		Satwinder Singh Panesar et al.			
			Art Unit			1761		
			Examiner Name			Not yet assigned		
			Attorney Docket Number			1410/67680		

	26	1 255 685	EP	B1	2004-04-28	Halliday et al.		<input type="checkbox"/>
	27	1 316 283	EP	A2	2003-06-04	Piscaer		<input type="checkbox"/>
	28	1 537 031	FR		1968-08-23	Fasano		<input type="checkbox"/>
	29	2 322 796	FR	A1	1977-04-01	Manaresi		<input type="checkbox"/>
	30	468 248	GB	A	1937-07-01	Whiting		<input type="checkbox"/>
	31	828 529	GB	A	1960-02-17	Jessel		<input type="checkbox"/>
	32	1 215 840	GB	A	1970-12-16	Lampe		<input type="checkbox"/>
	33	2 306 432	GB	A	1997-05-07	Peterson et al.		<input type="checkbox"/>
	34	2 374 795	GB	A	2002-10-30	Hester et al.		<input type="checkbox"/>
	35	2 374 816	GB	A	2002-10-30	Hayes et al.		<input type="checkbox"/>
	36	2 374 856	GB	A	2002-10-30	Hayes et al.		<input type="checkbox"/>

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>( Not for submission under 37 CFR 1.99)</b>			Application Number		10589041			
			Filing Date		2006-08-10			
			First Named Inventor		Satwinder Singh Panesar et al.			
			Art Unit			1761		
			Examiner Name			Not yet assigned		
			Attorney Docket Number			1410/67680		

	37	2 379 624	GB	A	2003-03-19	Hester et al.		<input type="checkbox"/>
	38	2000-93309	JP		2000-04-04	Sato		<input type="checkbox"/>
	39	88/07472	WO	A1	1988-10-06	Uusitalo		<input type="checkbox"/>
	40	95/07648	WO	A1	1995-03-23	Scott et al.		<input type="checkbox"/>
	41	95/16377	WO	A1	1995-06-22	Vass et al.		<input type="checkbox"/>
	42	97/17006	WO	A1	1997-05-15	Nello		<input type="checkbox"/>
	43	98/27854	WO	A1	1998-07-02	Van Der Meer		<input type="checkbox"/>
	44	00/28868	WO	A1	2000-05-25	Mariller et al.		<input type="checkbox"/>
	45	00/42891	WO	A1	2000-07-27	Beaulieu et al.		<input type="checkbox"/>
	46	01/15582	WO	A1	2001-03-08	Kraan		<input type="checkbox"/>
	47	01/30218	WO	A1	2001-05-03	Kollep et al.		<input type="checkbox"/>

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>( Not for submission under 37 CFR 1.99)</i>	Application Number		10589041	
	Filing Date		2006-08-10	
	First Named Inventor		Satwinder Singh Panesar et al.	
	Art Unit		1761	
	Examiner Name		Not yet assigned	
	Attorney Docket Number		1410/67680	

	48	01/58786	WO	A1	2001-08-16	Halliday et al.	<input type="checkbox"/>
	49	01/60219	WO	A1	2001-08-23	Lazaris et al.	<input type="checkbox"/>
	50	01/60220	WO	A1	2001-08-23	Lazaris et al.	<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

#### NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	<input type="checkbox"/> T <sup>5</sup>
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

#### EXAMINER SIGNATURE

Examiner Signature	/Daniel Robinson/	Date Considered	01/27/2010
--------------------	-------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>( Not for submission under 37 CFR 1.99)</b>	Application Number	10589041
	Filing Date	2006-08-10
	First Named Inventor	Satwinder Singh Panesar et al.
	Art Unit	1761
	Examiner Name	Not yet assigned
	Attorney Docket Number	1410/67680

### CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to  any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.  
 Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.  
 None

### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Jeffrey A. Chelstrom/	Date (YYYY-MM-DD)	2006-11-20
Name/Print	Jeffrey A. Chelstrom	Registration Number	57,915

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /D.R./